



COMMUNIQUÉ

FinSA: ARIF RECEIVES ITS AUTHORISATION AS A CLIENT ADVISOR REGISTER

Geneva, 15th of September 2020

In addition to its MLA supervisory activity, the Association Romande des Intermédiaires Financiers (ARIF) has now been authorised by FINMA as a CLIENT ADVISOR REGISTER.

The Financial Services Act (FinSA) requires client advisers to be enrolled in a register in order to be able to conduct their business activity: the Client Advisor Register.

The concept of client advisers is broad and encompasses in particular current distributors of collective investment schemes, distributors of financial instruments and investment advisers, whether they are based in Switzerland or abroad.

Client advisers have until 19 January 2021 to file an application for enrolment in the Register. If they fail to do so, they will no longer be allowed to continue their business activity.

ARIF is at the disposal of its members – and of any interested person – to assist them with the process.

Our registration form will be soon available at www.arif.ch/en/

ARIF contact details: registre@arif.ch ; 022 310 07 35