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## WEBSEMINAR

# " SHORTCOMINGS NOTED: ADMINISTRATIVE AND CRIMINAL ASPECTS "

Thursday, 12<sup>th</sup> of November 2020 from 5pm to 8pm

**THIS TRAINING WILL BE GIVEN ONLINE**

16:30 Platform connection opening

Participants will be asked to connect online to a secured training platform.  
Detailed instructions will follow to registrants. Individual email address is required.

17:00 Introduction by **Norberto BIRCHLER**, Director and member of ARIF Committee

17:10 **Nezam BAYAT**, Attorney-at-law, Enforcement Division, FINMA  
**Ulina BAJRAKTARAJ**, member of the Enforcement Division

« *FINMA's enforcement proceedings* »

18:05 **Alexandre RICHA**, Partner at ABR Attorneys at Law, Geneva and Associate Professor of Business Law at the University of Lausanne, HEC Lausanne

« *FINMA's enforcement proceedings from a defence perspective* »

19:00 **Carlo LOMBARDINI**, Partner at PONCET TURRETTINI and Associate Professor at the Faculty of Law and Criminal Sciences at the University of Lausanne

« *Criminal risks in financial intermediation* »

19:55 Questions and answers

20:00 End of web seminar

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**Registration fees :** ARIF members and auditors : CHF 474.-/ pers. (VAT included)  
non-members : CHF 592.-/ pers. (VAT included)  
Members of GSCGI are granted a reduction