



## Association Romande des Intermédiaires Financiers

Rue de Rive 8 - Case postale 3178 - 1211 Genève 3  
Tél. 022 310 07 35 - Fax 022 310 07 39 - www.arif.ch - info@arif.ch

### Connaissances professionnelles : Liste des formations professionnelles reconnues Berufliche Kenntnisse : Liste der anerkannten beruflichen Ausbildungen Professional knowledges: List of accepted trainings

Cette liste est amenée à être complétée conformément au "Document d'information sur les preuves de connaissance" de l'ARIF.

Diese Liste wird in Übereinstimmung mit dem «ARIF Informationsdokument über den Nachweis der Kenntnisse» vervollständigt.

This list may be extended in accordance with the requirements provided for in the "Guidelines concerning proof of the required knowledge " of ARIF.

Code	Institute / Name	Erwerb oder die Veräußerung von Finanzinstrumenten / purchase/sale of financial instruments	Annahme und Übermittlung von Aufträgen / receipt/transmission of orders in relation to financial instruments	Verwaltung von Finanzinstrumenten (Vermögensverwaltung) / wealth/asset management	Erteilung von persönlichen Empfehlungen (Anlageberatung / investment advice (provision of personal recommendations)	Gewährung von Lombardkrediten / granting of loans to finance transactions with fin. instruments
PASG1001	ASG/VSV - Qualified Independent Wealth Manager	x	x	x	x	X
PACI1001	Association of Certified International Investment Analysts (ACIIA) - Certified International Investment Analyst (CIIA)		x	x	x	
PAIW1001	Association of International Wealth Management (AIWM) - Certified International Wealth Manager Diploma (CIWM)	x	x	x	x	X
PAZE1001	AZEK - Associate Wealth Manager (AWM)	x	x	x	x	X
PAZE1002	AZEK - Certified International Wealth Manager (CIWM)	x	x	x	x	X
PAZE1003	AZEK - Financial Analyst & Portfolio Manager (CIIA)	x	x	x	x	X
PAZE1004	AZEK - Financial Market Operator (FMO)	x	x	x	x	X
PCFA1001	CFA Institute / Society - CFA Program (Level 1)		x	x		
PCFA1002	CFA Institute / Society - Fellow or Associate by examination	x	x	x	x	x

PCFA1003	CFA Institute / Society - Holder of Associate qualification				x	
PCFA1004	CFA Institute / Society - Investment Management Asset Allocation Qualification		x	x		
PCFA1005	CFA Institute / Society - Investment Management Certificate (Level 3 or 4)	x	x	x	x	x
PCFA1006	CFA Institute / Society - Investment Practice Paper		x	x	x	
PCFA1007	CFA Institute / Society - Investment Regulation and Practice Paper of the Associate Examination	x				
PCAI1001	Chartered Alternative Investment Analysis Association (CAIA) - Level 1				x	
PCII1001	Chartered Insurance Institute (CII) - Level 4 Diploma in Regulated Financial Planning	x	x	x	x	x
PCIS1001	Chartered Institute for Securities and Investment (CISI) - Certificate in Corporate Finance	x			x	
PCIS1002	Chartered Institute for Securities and Investment (CISI) - Certificate in Derivatives	x				
PCIS1003	Chartered Institute for Securities and Investment (CISI) - Certificate in Investment Management	x	x	x	x	x
PCIS1004	Chartered Institute for Securities and Investment (CISI) - Certificate in Pension Transfers and Planning Advice			x		x
PCIS1005	Chartered Institute for Securities and Investment (CISI) - Certificate in Private Client Investment Advice and Management		x	x	x	x
PCIS1006	Chartered Institute for Securities and Investment (CISI) - Certificate in Securities	x			x	
PCIS1007	Chartered Institute for Securities and Investment (CISI) - Certificate in Securities and Financial Derivatives	x			x	
PCIS1008	Chartered Institute for Securities and Investment (CISI) - Client Services Qualification	x				
PCIS1009	Chartered Institute for Securities and Investment (CISI) - Diploma	x	x	x	x	x
PCIS1010	Chartered Institute for Securities and Investment (CISI) - Diploma - Global Operations Management Module	x				
PCIS1011	Chartered Institute for Securities and Investment (CISI) - Diploma - International Operations Management Module	x				
PCIS1012	Chartered Institute for Securities and Investment (CISI) - Diploma - Operations Management Module	x				
PCIS1013	Chartered Institute for Securities and Investment (CISI) - Diploma - Regulation and Compliance Module	x	x	x	x	
PCIS1014	Chartered Institute for Securities and Investment (CISI) - Diploma in Corporate Finance				x	
PCIS1015	Chartered Institute for Securities and Investment (CISI) - Investment Advice Certificate	x	x	x	x	x
PCIS1015	Chartered Institute for Securities and Investment (CISI) - Investment Operations Certificate - UK Financial Regulation Module	x	x	x	x	
PCIS1016	Chartered Institute for Securities and Investment (CISI) - Level 3 Certificate in Investments	x	x	x	x	x
PCIS1017	Chartered Institute for Securities and Investment (CISI) - Level 6 Diploma in Wealth Management		x	x		x
PCIS1018	Chartered Institute for Securities and Investment (CISI) - Masters in Wealth Management		x	x	x	x
PCIS1019	Chartered Institute for Securities and Investment (CISI) - Member of the Securities Institute by examination	x			x	
PCIS1020	Chartered Insurance Institute (CII) - Level 4 Diploma in Regulated Financial Planning	x	x	x	x	x
PCOF1001	COFAKTORY – Fundamental Knowledge Investment Services, Gold Solution (online)	x	x	x	x	x
PCSV1001	CSVN – CAS Wealth Management	x		x	x	x
PCSV1002	CSVN – CAS Risk Management in Banking and Asset Management			x		
PEFF1001	EFFAS Societies with accredited examinations - Certified European Financial Analyst		x	x		
PIAF1001	IAF - Dipl. Finanzberater/in	x	x		x	x
PIAF1002	IAF - Dipl. Finanzberater/in (Kenntnisnachweis FIDLEG)	x	x		x	x

PIAF1003	IAF - Finanzplaner/in mit eidg. FA	x	x		x	x
PIAF1004	IAF - Zertifizierter Vermögensberater/in IAF	x	x		x	x
PFIN1001	FinSA Client Advisor Test (Kryterion) - Credit Activities					x
PFIN1002	FinSA Client Advisor Test (Kryterion) - Credit Activities (w/o FINSA)					x
PFIN1003	FinSA Client Advisor Test (Kryterion) - Distribution	x	x			
PFIN1004	FinSA Client Advisor Test (Kryterion) - Distribution (w/o FINSA)	x	x			
PFIN1005	FinSA Client Advisor Test (Kryterion) - Executing and Forwarding of Orders	x				
PFIN1006	FinSA Client Advisor Test (Kryterion) - Executing and Forwarding of Orders (w/o FINSA)	x				
PFIN1007	FinSA Client Advisor Test (Kryterion) - Investment Advice and Investment Management				x	x
PFIN1008	FinSA Client Advisor Test (Kryterion) - Investment Advice and Investment Management (w/o FINSA)				x	x
PFIN1009	FinSA Client Advisor Test (Kryterion)	x	x	x	x	x
PFIN1010	FinSA Client Advisor Test (Kryterion) with FINSA	x	x	x	x	x
PFIN1011	FinSA Client Advisor Test (Kryterion) with FINSA base version	x	x	x	x	x
PFIN2001	Fintelligence - Ausbildungsnachweis für Kundenberater nach FIDLEG - Fachkenntnisse	x	x	x	x	x
PISF1001	ISFB - Chartered Financial Analyst (CFA I, II, III)	x		x	x	
PISF1002	ISFB - Chartered Alternative Investment Analyst (CAIA I, II)	x		x	x	
PISF1003	ISFB - Financial Risk Manager (FRM I, II)	x		x	x	
PISF1005	ISFB : CAS HES en Solutions d'investissement : Module Financements				x	x
PISF1006	ISFB : CAS HES en Solutions d'investissement : Module Solutions d'investissement	x		x	x	
PISF1007	ISFB : CAS HES en Solutions d'investissement : Module Stratégies d'investissement	x		x	x	
PISF1008	ISFB : CAS HES en Solutions d'investissement : Module Macroéconomie	x		x	x	
PISF1009	ISFB : CAS HES en Solutions d'investissement : Module Environnement du client	x			x	x
PLIB1001	LIBF- level 4 Diploma for financial advisors (DipFA)	x	x	x	x	x
PSAQ1001	SAQ - Zertifizierter Affluent Kundenberater SAQ	x	x	x	x	x
PSAQ1002	SAQ - Certified Corporate Banker CCOB	x	x	x	x	x
PSAQ1003	ISFB: SAQ Certified Wealth Management Advisor CWMA	x	x	x	x	x
PSAQ1004	SAQ - Zertifizierter Individualkundenberater	x	x	x	x	
PSAQ1005	SAQ - Zertifizierter KMU Kundenberater	x	x		x	x
PSAQ1006	SAQ - Zertifizierter Privatkundenberater	x	x		x	
PSIX1001	SIX Swiss Exchange - Certified Securities Trader				x	
PSLS1001	SLS Finanzberater-Lizenz-Prüfung (Swiss Life Select)				x	
PSFI1001	Swiss Finance Institute - Dual degree Executive MBA in Asset and Wealth Management		x	x		
PUZU1001	Universität Zürich - MAS Finance - Einzelkurs - Investment Controlling (in Kooperation mit IRC Partners GmbH)	x	x	x	x	
PVCO1001	VisionCompliance - Online Test - All Financial Services according to FINSA	x	x	x	x	x
PVCO1002	VisionCompliance - Online Test - Fund Distribution	x	x			
PVCO1003	VisionCompliance - Online Test - Executing Forwarding of Orders and Credit Activities	x	x			x
PVCO1004	VisionCompliance - Online Test - Asset Management and Investment Advice			x	x	

PZHA1001	ZHAW - MAS Financial Consulting	x	x	x	x	X
PZHA1002	ZHAW - CAS Wealth Management	x	x	x	x	x
PZKA1001	ZKA Zugerberg Kundenberater Akademie – Seminar Kapitalanlagen				x	

Cette liste fait l'objet d'une mise à jour permanente.

Les cours qui ne figurent pas sur cette liste doivent nous être soumis pour validation.

Diese Liste wird ständig aktualisiert.

Kurse, die nicht auf dieser Liste stehen, müssen uns zur Annahme vorgelegt werden.

This list is subject to permanent updating.

Courses that are not on this list must be submitted to us for validation.

Pour les formations métier, les connaissances professionnelles peuvent être attestées par l'employeur ([modèle d'attestation](#))

Bei Fachausbildungen können die beruflichen Kenntnisse vom Arbeitgeber bescheinigt werden ([Beispiel von Bescheinigung](#))

Professional knowledge can be certified by the employer ([model of attestation](#))

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